



To [REDACTED]
[REDACTED]
From [REDACTED]
Date 13th August 2018
Subject [REDACTED]
Pages 2
Our Ref [REDACTED]

Policy-

[REDACTED] are a management tool, designed to ensure Governance outside of the Act, to protect individuals, ensure due process and systems are implemented within the division of [REDACTED]. This process will ensure the [REDACTED] upholds the highest standards of animal welfare and good practice relating to animal care and use.

NOTE: A [REDACTED] meeting does not replace the investigation of an incident by the [REDACTED] or other authority. Therefore for example, where the local inspector deems appropriate a [REDACTED] non-compliance investigation may run in parallel or sequentially with a [REDACTED]

Event and Action

Injections of pancreatic cancer cells were performed by subcutaneous injection into the flank of mice whilst they were under isoflurane inhalation anaesthetic without Project licence authorisation. Anaesthesia for this procedure was not covered by the Project Licence [REDACTED] authorities. Protocol 8 of [REDACTED] (attached below) does not have authority for the use of anaesthesia, the code for the subcutaneous procedure states AA (without anaesthetic) not AB (with anaesthetic and recovery). Neither Study plan 8960-01 [REDACTED] nor 8960-04 [REDACTED] (both attached below), described the use of anaesthetic. It transpired that a total of 69 mice were injected by this route with anaesthesia since 6th July 2017.

Once the Personal Licence holder [REDACTED] became aware that these procedures were unauthorised, they contacted the [REDACTED] who in turn carried out a full investigation collating details and relevant paperwork before reporting findings to the [REDACTED]. The [REDACTED] has shown remorse for the mistake and clarified the anaesthesia was performed to minimise discomfort, as isoflurane makes the injection process significantly less stressful for the mice.

The [REDACTED] contacted the [REDACTED] inspector [REDACTED] on the 02/06/18 providing full details of the incident. As a consequence, the [REDACTED] received formal compliance advice by e-mail from the [REDACTED] on the 26/07/18 stating the use of anaesthetic should have been specifically authorised in a [REDACTED] and the [REDACTED] should have checked the relevant licence before undertaking procedures. They are technically in breach of Personal Licence conditions. The [REDACTED] has confirmed this [REDACTED] report of the event is sufficient alongside the [REDACTED] formal advice to the [REDACTED] and advice to the PPLh to amend [REDACTED]. No further action has been deemed necessary.

Action-

The PPLh to amend [REDACTED] through [REDACTED] and [REDACTED] process as soon as possible. In the meantime, this procedure should not be undertaken.



Copy: [REDACTED]

Attachments-



Study Plan 8960-04
(DC).pdf



Study Plan 8960-01
(MP).pdf



PPL
7008960_Procedure

Glossary of terms

AA	A procedure performed without anaesthesia
AB	A procedure performed under anaesthesia, from which the animal recovers
AWERB	Animal Welfare and Ethical Review Body
CAM	Compliance Assurance Meeting
DDNTCO	Deputy Director/Named Training and Competency Officer
HO	Home Office
HOI	Home Office inspector
NACWO	Named Animal Care and Welfare Officer
PIL	Personal Licence (Procedures Individual Licence)
PILh	Personal Licence (Procedures Individual Licence) holder
PPL	Project Licence (Procedures Project Licence)
PPLh	Project Licence holder (Procedures Project Licence holder)
UBS	University Biomedical Services