



To [REDACTED]  
[REDACTED]  
From [REDACTED] Named Information and Compliance Support Officer  
Date Thursday, 25 August 2016  
Venue [REDACTED]  
Subject CAM meeting  
Pages 2  
Our Ref [REDACTED]

### Policy-

Compliance Assurance Meetings (CAMs) are designed to ensure Governance outside of the Act, to protect individuals, ensure due process and systems are implemented within the division of University Biomedical Services. This process will ensure the University upholds the highest standards of animal welfare and good practice relating to animal care and use.

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### Event-

Following the failure of the first two surgeries (animals killed due to seizure-like behaviour during recovery), the Named Animal Care and Welfare Officer NACWO ([REDACTED]) advised [REDACTED] that one more surgery could be undertaken following an agreed refinement to the preparation of the Colchicine (suspected ethanol contamination). [REDACTED] was advised not to continue with further surgeries unless the third surgery and recovery were successful.

During recovery the third mouse also developed seizure-like behaviour and was killed. Without contacting [REDACTED] and counter to her advice, [REDACTED] undertook surgery on a fourth animal. Fortunately the outcome of this surgery was successful.

The [REDACTED] Licensed Technician who provided non-sterile assistance during all surgeries, was also verbally informed by [REDACTED] that only one more surgery should be undertaken after the failure of the first two. However the technician said they did not fully recall the instruction and allowed themselves to be convinced by [REDACTED] that a further refinement was possible and should be tried. The technician has been spoken to by the appropriate [REDACTED]

Evidence and facts have been collected. The Home Office Inspector has been notified about the unexpected adverse effects by a report under Project Licence Standard Condition 18.

A Compliance Assurance Meeting has been selected as the most appropriate means of action to ensure governance and compliance and, setting processes in place to impress there is no repetition of this event.

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### Action-

- Following receipt of the Project Licence Standard Condition 18 report, the Home Office Inspector, [REDACTED], has provided advice with regard to how future experiments should proceed. The Project and Personal Licensees ([REDACTED] and [REDACTED]) agreed





they would follow this advice to the letter when they proceed with the next experiments.

- [REDACTED] and [REDACTED] have agreed to seek advice from their Named Persons [REDACTED] (NVS) and [REDACTED] (NACWO) and alert them if and when issues regarding animal welfare arise. The [REDACTED] Named Persons will be able to, or direct to someone who can, make immediate and timely contact with the Home Office Inspector.
- [REDACTED] will make arrangements to meet [REDACTED] [REDACTED] in September. The purpose of the meeting will be to ensure that [REDACTED] fully understands the Standard Conditions attached to her Personal Licence.
- The [REDACTED] is striving to improve dialogue between Named Persons and Scientists, this will continue as systems and processes are implemented. Communication across the departments is crucial to this process for the Establishment Licence holder ([REDACTED]) to be assured non-compliance is avoided. In this instance it is crucial to the Establishment Licence that [REDACTED] and [REDACTED] maintain a dialogue with [REDACTED] and the Home Office Inspectorate.
- Following this event and as part of the ongoing systematic review of UBS procedures following implementation of the Governance review, UBS will prioritise the SOP dealing with how research and UBS staff handle unexpected adverse events that occur when undertaking a Project Licence authorised regulated procedure.

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## Follow-Up from actions-

- An SOP for the process of Compliance Assurance Meetings was completed on the 17<sup>th</sup> October 2016 and set in place within UBS, Named Persons have all been contacted and it has been recorded in the AWERB Named Persons meeting 14<sup>th</sup> October 2016.
- [REDACTED] met [REDACTED] on the 18<sup>th</sup> of October 2016 to discuss the PIL Standard Conditions. [REDACTED] is content that she understands these conditions. [REDACTED] met [REDACTED] [REDACTED] also discussed the PPL and PEL Standard Conditions and the importance of reviewing licence conditions on a regular basis.
- The Home Office Inspector was sent a completed CAMs form on 7<sup>h</sup> November 2016 for information.